



UTC Microturbine CHP Product Development and Launch

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January 2004 DOE/CETC Microturbine Applications



Agenda



Microturbine/Absorption Chiller CHP System

- ◆ Technology goal and plan
- ◆ UTC/CTC Strategic Alliance Agreement
- Exhaust heat driven, double effect chiller
- CHP system integration and validation
- ◆ PureComfortTM 240 is launched by UTC Power

Recycling Waste Heat for Power

- Pathway to 40% microturbine
- Organic Rankine Cycle economics and validation
- C200/ORC system
- ◆ PureCycle[™] 200 to recycle waste heat nearing launch

Conclusions

UTC Power is impacting CHP marketplace



Microturbine CHP System Goal and Approach



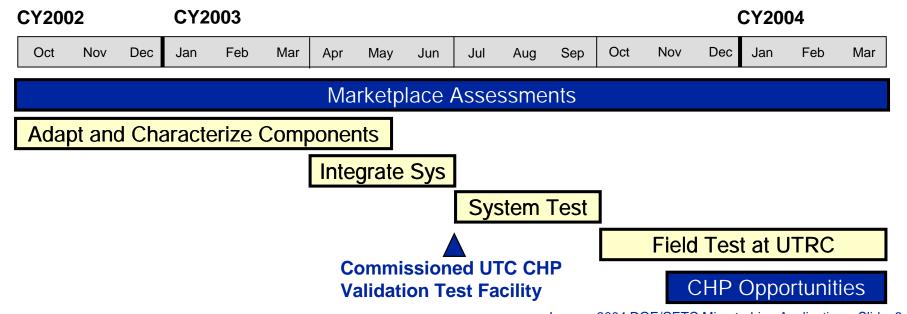
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Goal

 Develop and demonstrate an market-driven microturbine/ absorption chiller CHP system

Approach

- Guidance from marketplace never ends
- Adapt affordable, leading-edge CHP components
- Integrate 4 CTC C60 microturbines and a Carrier double effect absorption chiller, and demonstrate CHP system performance





UTC/CTC Strategic Alliance



The October, 28, 2002 news release contained the following:

"The strategic alliance between UTC and Capstone is a long term agreement to integrate, sell, and service microturbine-based **combined heat and power solutions** for commercial buildings."

"UTC and Capstone intend to <u>build on key product, technology, and</u> <u>channel strengths</u> of the companies, including those of UTC's Carrier Corporation – the leading manufacturer of heating, ventilation, and air conditioning systems."

"The agreement covers North America and most of Europe."

"As part of the alliance agreement, UTC has committed to purchase a 4.9 percent stake in Capstone."



Technologies "Begin with the end in mind" s. Covey - Microturbine/Chiller CHP system



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CHP system integration of -

4 x C60 Microturbines







- can meet many building needs:

Electric Load

- Lighting
- Ventilation
- Refrigeration
- Space Cooling



Cooling Load

- Space
- Subcooling

Heating Load

- Space
- Water



Direct-Fired Carrier Chiller Converted for Waste-Heat



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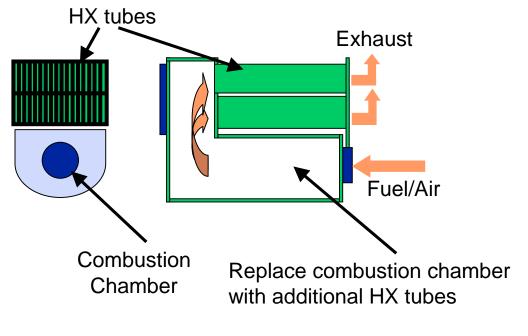
16DN Chiller/Heater

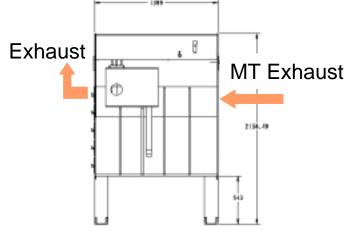


CHP Chiller/Heater



Modified HX







Waste Heat Carrier Chiller Achieves 1.3 COP

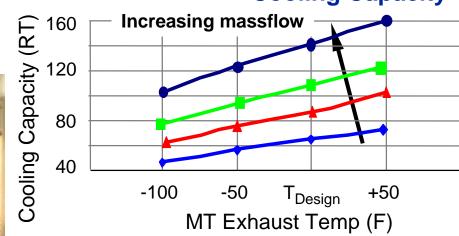


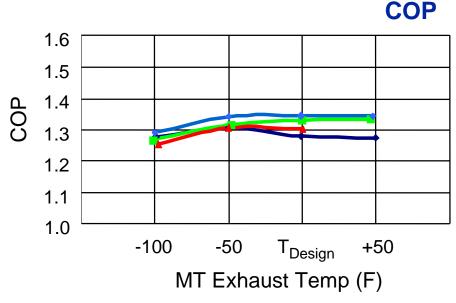
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Chiller s/s performance with hot gas generator

Cooling Capacity









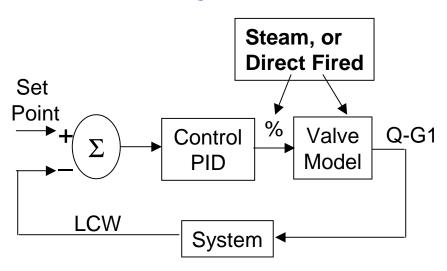
Waste-Heat Diverter Used to Control Chiller Output



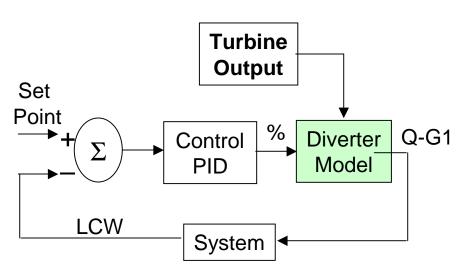
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Substitute diverter valve control for fuel valve control

Existing Product



CHP Product



Diverter Valve – used for capacity control

- Air seal blower to insure zero leakage
- Electric actuator used to set position





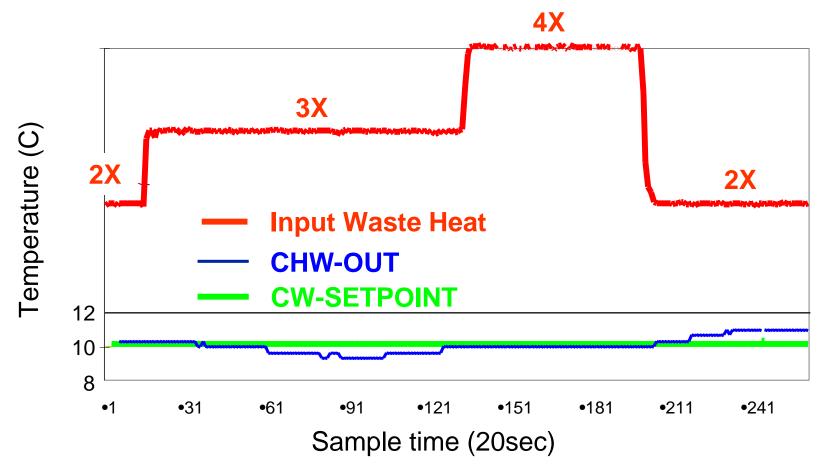
Chilled-Water Setpoint Held During Waste-Heat Transients



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Transient Heat Source Test with hot gas generator

Chilled water leaving temperature maintained to within 1.8 F



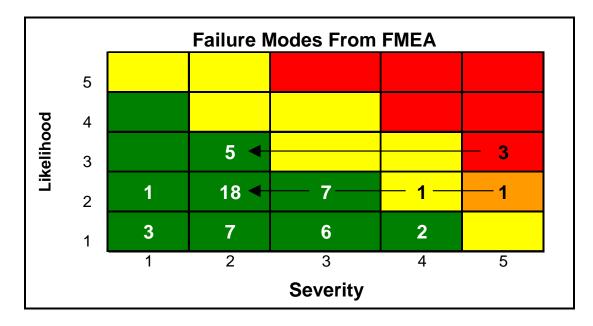


CHP System Risks Identified and Mitigated



No residual single point criteria failure modes

- System controls ensure that highest severity failure modes do not result in health or safety risk
 - For example, must not allow fuel to accumulate in duct
- Highest Risk Priority Number failure modes driven down by system design, control strategies, or testing.

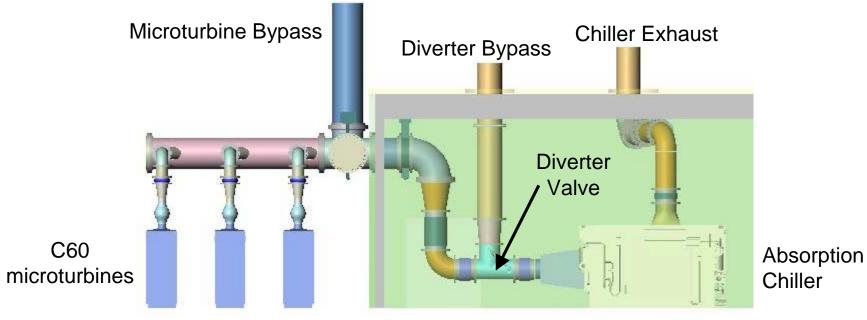




C60/Absorption Chiller System in CHP Validation Test Facility











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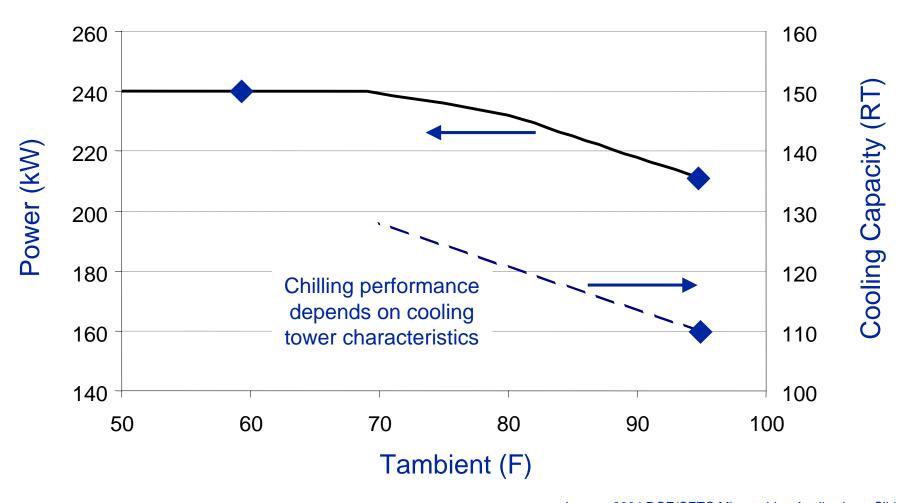


C60/Absorption Chiller System Performance



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System Rating Points





UTC Power PureComfort[™] 240 Launched



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Technologies Advanced Microturbine System **Goal and Approach**

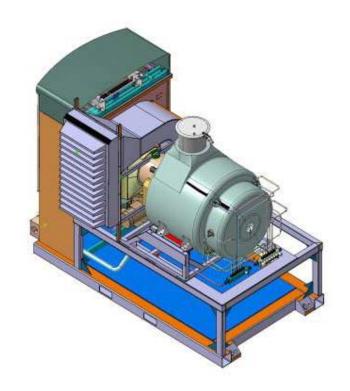


DOE Advanced Microturbine System Goals

- ◆ Electrical efficiency = 40%
- ♦ NOx < 7 ppm on natural gas</p>
- 11,000 hour between major overhaul
- System cost ~\$500US/kW

UTRC Goal and Approach

 Affordably increase Capstone C200 microturbine from 33% to 40% electrical efficiency with NOx < 7 ppm



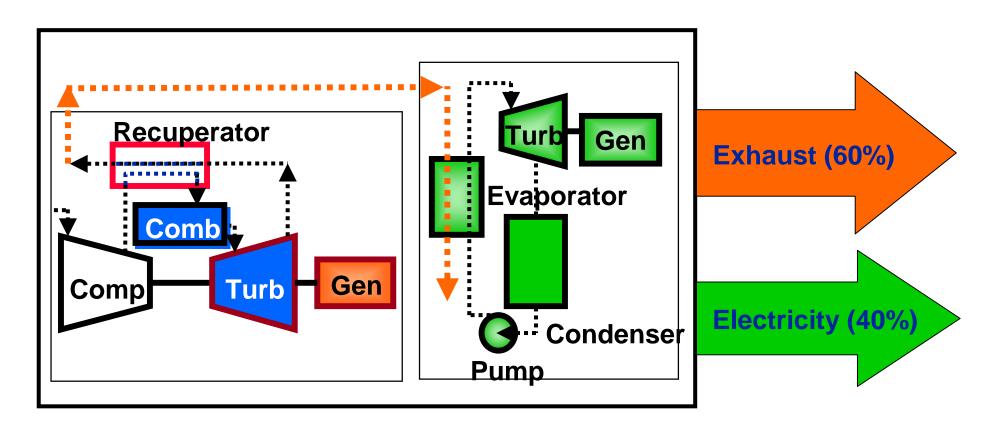


Consistent Approach to Advanced Microturbine System



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UTRC sustains recycling exhaust energy into power as the affordable means to high efficiency



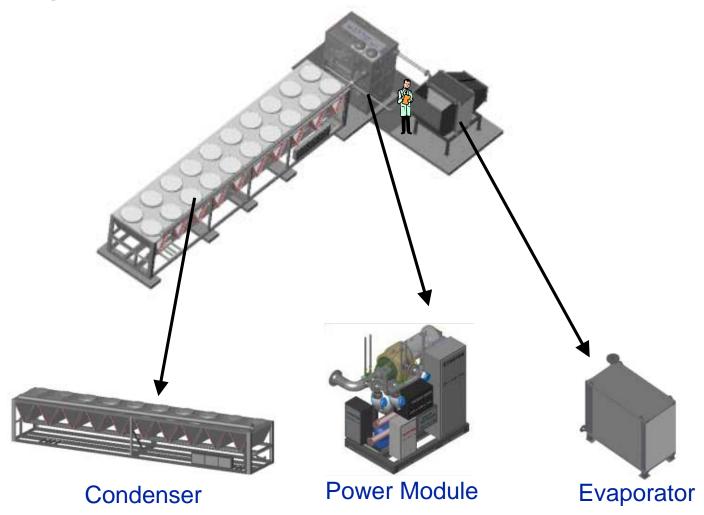


ORC System Consists of 3 HVAC Modules



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HVAC leverage limits cost





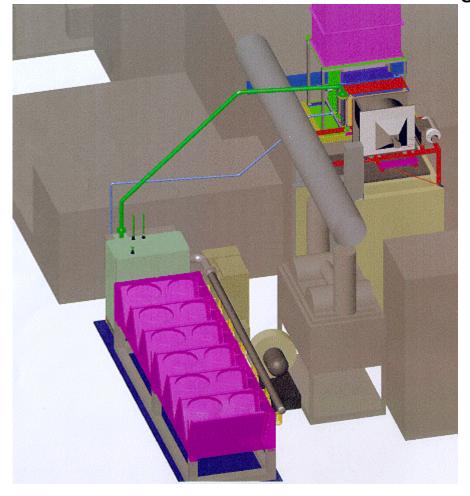
100 kW ORC Engineering Prototype Driven by 1.5MW IGT



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Integrated to portion of IGT exhaust

- Delivered 100kW of electrical power to UTRC grid
- Demonstrated cavitation-free operation
- Validated transient control strategy







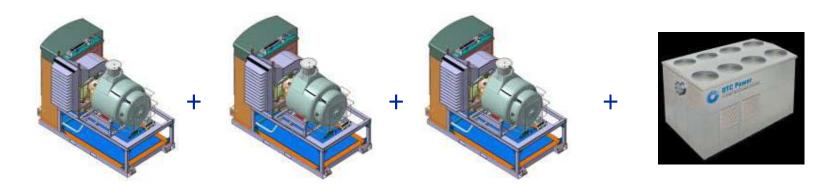
Technologies Advanced Microturbine System **Next Steps**



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Integrate C200/ORC system and demonstrate 40% electrical efficiency

- Add HW heat exchanger to produce thermal output
- Substitute water-cooled condenser
- Mechanical and control integration with C200
- Test integrated system at steady state and transient conditions



System Efficiency =
$$(P_{C200} + P_{ORC})/E_{fuel}$$

= $Eff_{C200} \times (P_{C200} + P_{ORC})/P_{C200}$
= 33% x (600 +128)/600
= 40%



Waste Heat is Everywhere





Landfill Flare



Gas Compression



Landfill Engine



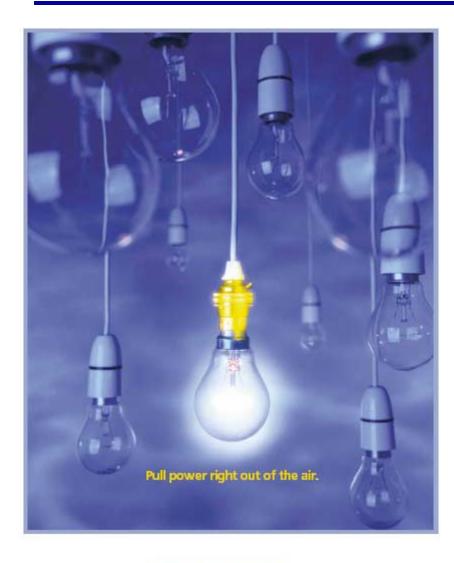
Industrial Processes

Annual U.S. industrial waste > 4 quadrillion BTU (160 GW)



UTC Power PureCycle™ 200





Turn Waste Heat into Electricity

- with the PureCycle™ Zero Emission Power System.

The clean way to profit from waste heat.

if you're generating heat, you can create electricity.

And maybe you already are. But even if you have a waste heat-to-energy system in place, you're not using your resources to their fullest potential. Because now you can use the PureCyder power system, a unique heat-to-electricity solution from UTC Power.

The PureCycle⁺ power system is a dosed-cycle process that uses waste heat to generate electrical power. Driven by a simple evaporation process, the entire system is enclosed, which means it produces no emissions. The only product is electricity. And the fuel – waste heat – is free, so there's no cost to power the system once it's installed.

Flexible, site-compatible design.

The PureCycle" system can be used with any waste heat above 500% (gaseous) or 200% (iquid or vapor), whether it comes from engines, flares or industrial sources. The PureCycle" power system is also surprisingly compact which makes the system moveable. If, for whatever reason, you wish to use another heat source, the system can be disengaged and relocated.

Built and supported by energy experts.

While the PureCycle* power system is relatively new, it draws upon decades of United Technologies Corporation Innovation



PureCycle Power System features:

- Waste-heat powered The PureCycle" power system is designed to be used with engines, turbines, industrial processes or flare waste heat. In each case, you're leveraging current resources, and the fuel is free.
- No system emissions In some cases the PureCycle* power system can qualify for emissions credits and help lower net emission/WWh of the host site.
- Safe working fluid Your operations and the environment are protected through the use of a non-flammable, non-ozone-depleting working fluid which is sealed within the overare.
- Low generation cost Generation costs of the PureOycle" power system are lower than natural gas fueled reciprocating engines. In addition, the system is easily installed in many cases, resulting in attractive payback periods.
- Fully automated, self contained PureCycle" power systems are designed to run unmanned – 24/7/365. And because the systems are sealed, they're inherently weatherresistant, so there's no need for protective housing.
- ▼ Low operating cost Maint









UTC is on Pathway to Impact CHP Marketplace



Research Center

Technology development and demonstration launched as an integrated microturbine/absorption chiller-heater CHP system

- ◆ PureComfort[™] 240
- ◆ CHP system fuel utilization >70% in cooling mode
- Combines leading edge technology of CTC and UTC
- ◆ PureCycle[™] 200 affordably recycles waste heat into power
 - Pathway for 40% electrical efficiency

UTC products and plans have great public benefit

- Expand customer choice for reliable, affordable electrical and thermal energy
- Delivers the energy streams with less fuel consumption and pollutants
- UTC/DOE collaboration is having a direct impact on the marketplace by enabling new products



UTC Power Sales Contacts



